



Gwasanaeth Prawf  
Cenedlaethol  
National Probation  
Service



HEDDLU GOGLEDD CYMRU  
Gogledd Cymru diogelach  
NORTH WALES POLICE  
A safer North Wales



# MAPPA SMB

**Risk Assessments – OASys, ARMS,  
Thornton's Risk Matrix 2000**

# OASys - Offender Assessment System

- ▶ OASys is designed to enable a trained and qualified individual, usually a Probation or Prison member of staff to:
- ▶ Assess how likely an offender is to re offend
- ▶ Identify and classify offending related needs, including basic personality characteristics and cognitive behavioural problems
- ▶ Assess risk of serious harm, risks to the individual and other risks
- ▶ Assist with the management of risk of harm
- ▶ Links the assessment to the supervision and sentence plan

# OASys - Offender Assessment System - continued

- ▶ Indicate the need for further specialist assessments
- ▶ Measure change during the period of supervision / sentence
- ▶ Each Probation case (18 and over) will have an OASys assessment. An assessment needs to be completed and locked within 15 days of sentence or release (good practice guide 5 days for high risk offenders)
- ▶ An OASys needs to be updated following a significant event - i.e new offence, new relationship, new accommodation, contact with children / victim etc

# Criminogenic Needs

## Section 1 - 13

- ▶ An Offender Manager will assess the following:
- ▶ Offence / Offending Behaviour / Motivation to Offend
- ▶ Accommodation
- ▶ Education, Training and Employment
- ▶ Finance
- ▶ Relationships
- ▶ Lifestyle and Associates
- ▶ Drug Misuse
- ▶ Alcohol Misuse

# Criminogenic Needs - continued

- ▶ Emotional Well-being
- ▶ Thinking and Behaviour
- ▶ Attitudes
- ▶ Health

# Risk Management Plan - Supervision Plan

- ▶ Every Service User will have a Risk Management Plan and Supervision Plan. Both plans need to be clear and linked to the risk of harm and re offending.
- ▶ The Risk Management plan needs to incorporate the following:
  - ▶ 1. Current Situation
  - ▶ 2. Other agencies involved
  - ▶ 3. Existing Support / Controls
  - ▶ 4. Added Measures for Specific Risks
  - ▶ 5. Who Will Undertake Actions
  - ▶ 6. Additional Conditions / Requirements
  - ▶ 7. Level of Contact
  - ▶ 8. Contingency

# Active Risk Management System - ARMS

- ▶ Active Risk Management System (ARMS) is a dynamic risk management framework that draws information together into a risk management plan. It is for the assessment of males who have convictions for sexual/sexually motivated offences who are over the age of 18 years of age. Rather than relying upon historical factors to guide our risk assessment ARMS focuses on the 'here and now' and a range of dynamic factors found to be predictive of recidivism or desistance from offending.

# ARMS

- ▶ ARMS assessments can only be undertaken by Police Staff and Probation Offender Managers, who have successfully completed the National ARMS training course.
- ▶ It is imperative that an ARMS assessment is undertaken for all adult males, over 18yrs, convicted of sexual offences, who are subject to NPS supervision under the terms of Licence or a Community Order, or in the case of Police managed cases, registered sexual offenders who are subject to sexual offender notification requirements under the SOA 2003. ARMS can also be used to support civil order applications.



# ARMS

- ▶ There should only be one current ARMS assessment in place on an offender at any one time and the responsibility for writing that assessment sits with the lead agency. Where the NPS is responsible for managing a Sex Offender (subject to Licence or Community Order) they are the lead agency and are responsible for completing ARMS, regardless if the individual is an RSO at that time.
- ▶ In relation to RSO's the Police become the lead agency when the licence or Community Order expires.
- ▶ Where an offender has been convicted but not sentenced the police will be the lead agency until case disposal.

# ARMS Risk Factors

- ▶ **Opportunity**
- ▶ **Sexual Pre-occupation**
- ▶ **Offence Related Sexual interests**
- ▶ **Emotional Congruence with Children**
- ▶ **Hostile Orientation**
- ▶ **Poor Self Management**
- ▶ **Anti Social Interests**
- ▶ **Lack of Intimate Relationship**

# ARMS Protective Factors

- ▶ Pro Social Network
- ▶ Commitment to Desist
- ▶ Intimate Relationship
- ▶ Employment / Positive Routine
- ▶ Social Investment

# ARMS Scoring

- ▶ Following examination of each factor the assessor is required to make a professional judgment on the priority to be given to address the particular factor. The rating scale is either **HIGH**, **MEDIUM** or **LOW** priority.
- ▶ **High Priority** - This rating is an indicator of a significant presence of a risk factor
- ▶ **Medium Priority** - This rating indicates no immanency in the likelihood of offending but if left unchecked or unresolved risk may increase over time
- ▶ **Low Priority** - This rating indicates that there is no concern at the moment

# Risk Matrix 2000 (Thornton's)

- ▶ Risk Matrix 2000 (RM2000) is a statistically-derived risk classification process intended for males aged at least 18 who have been convicted of a sexual offence. At least one of these sexual offences should have been committed after the age of 16. It uses simple factual information about offenders' past history to divide them into categories that differ substantially in their rates of reconviction for sexual or other violent offences. Risk Matrix was developed for use in the United Kingdom. While it is likely to be applicable in other jurisdictions, its scoring rules were tailored to specific features of criminal justice systems prevailing in the United Kingdom.

# Risk Matrix Scales

- ▶ Risk Matrix 2000 consists of 3 scales. RM2000/S is a prediction scale for sexual offending.
- ▶ RM2000/V is a prediction scale for non-sexual violence engaged in by sexual offenders.
- ▶ RM2000/C is a combination of the first two scales and predicts sexual or other violence.

# Risk Matrix 2000

- ▶ *Initial screening*
- ▶ Risk Matrix 2000 can only be used if the offender meets the following tests:
  - ▶ 1) The offender must be aged 18 or over
  - ▶ 2) The offender must have at least one conviction for a sexual offence committed when the offender was aged 16 or over
  - ▶ 3) The offender must be male. Risk Matrix 2000 cannot be used with females.

# Risk Matrix 2000

- ▶ *Age at Commencement of Risk*
- ▶ *Sexual Appearances*
- ▶ *Criminal Appearances*



# Risk Matrix - Aggravating Factors

- ▶ *Sexual Offences against a Male*
- ▶ *Sexual Offences against a Stranger*
- ▶ *Single (absence of 2 year co-habitation)*
- ▶ *Non-Contact Sex Offence (Internet offences do not count if there are no contact offences present)*

# Criteria for V Scale and S/V Combined

- ▶ *Violent Appearances*
- ▶ A violent appearance is a court appearance at which the offender was sentenced for a non-sexual violence charge.
- ▶ *Any Burglaries*
- ▶ This includes any convictions for illegally breaking into a building (e.g., breaking and entering, aggravated burglary and attempted burglary).